




The image shows two overlapping forms from the PRI LEA (Leadership Engagement Assessment) toolkit. The top form is LEA 02, titled 'Disruptive Mandatory', and the bottom form is LEA 03, titled 'Gateway'. Both forms are designed for assessing engagement practices across different types of engagements (Individual/Internal staff, Collaborative, and Service provider) and reasons for interaction (To support investment decision-making, To enhance corporate transparency, To enhance corporate practice, To enhance corporate practice for identifying the need to influence or to support investment decision-making, To enhance corporate practice for identifying the need to influence or to support investment decision-making, To enhance corporate practice for identifying the need to influence or to support investment decision-making).

RI TRANSPARENCY REPORT

2020

University College Cork

About this report

The PRI Reporting Framework is a key step in the journey towards building a common language and industry standard for reporting responsible investment (RI) activities. This RI Transparency Report is one of the key outputs of this Framework. Its primary objective is to enable signatory transparency on RI activities and facilitate dialogue between investors and their clients, beneficiaries and other stakeholders. A copy of this report will be publicly disclosed for all reporting signatories on the [PRI website](#), ensuring accountability of the PRI Initiative and its signatories.

This report is an export of the individual Signatory organisation's response to the PRI during the 2020 reporting cycle. It includes their responses to mandatory indicators, as well as responses to voluntary indicators the signatory has agreed to make public. The information is presented exactly as it was reported. Where an indicator offers a response option that is multiple-choice, all options that were available to the signatory to select are presented in this report. Presenting the information exactly as reported is a result of signatory feedback which suggested the PRI not summarise the information. As a result, the reports can be extensive. However, to help easily locate information, there is a **Principles index** which highlights where the information can be found and summarises the indicators that signatories complete and disclose.

Understanding the Principles Index

The Principles Index summarises the response status for the individual indicators and modules and shows how these relate to the six [Principles for Responsible Investment](#). It can be used by stakeholders as an 'at-a-glance' summary of reported information and to identify particular themes or areas of interest.

Indicators can refer to one or more Principles. Some indicators are not specific to any Principle. These are highlighted in the 'General' column. When multiple Principles are covered across numerous indicators, in order to avoid repetition, only the main Principle covered is highlighted.

All indicators within a module are presented below. The status of indicators is shown with the following symbols:

Symbol	Status
✓	The signatory has completed all mandatory parts of this indicator
☑	The signatory has completed some parts of this indicator
🔒	This indicator was not relevant for this signatory
-	The signatory did not complete any part of this indicator
🔍	The signatory has flagged this indicator for internal review

Within the table, indicators marked in blue are mandatory to complete. Indicators marked in grey are voluntary to complete.

Principles Index

Organisational Overview				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
OO TG		🔒	n/a							
OO 01	Signatory category and services	✓	Public							✓
OO 02	Headquarters and operational countries	✓	Public							✓
OO 03	Subsidiaries that are separate PRI signatories	✓	Public							✓
OO 04	Reporting year and AUM	✓	Public							✓
OO 05	Breakdown of AUM by asset class	✓	Asset mix disclosed in OO 06							✓
OO 06	How would you like to disclose your asset class mix	✓	Public							✓
OO 07	Fixed income AUM breakdown	🔒	n/a							✓
OO 08	Segregated mandates or pooled funds	✓	Private							✓
OO 09	Breakdown of AUM by market	✓	Public							✓
OO 10	Active ownership practices for listed assets	✓	Public							✓
OO 11	ESG incorporation practices for all assets	✓	Public							✓
OO 12	Modules and sections required to complete	✓	Public							✓
OO LE 01	Breakdown of listed equity investments by passive and active strategies	🔒	n/a							✓
OO LE 02	Reporting on strategies that are <10% of actively managed listed equities	🔒	n/a							✓
OO FI 01	Breakdown of fixed income investments by passive and active strategies	🔒	n/a							✓
OO FI 02	Reporting on strategies that are <10% of actively managed fixed income	🔒	n/a							✓
OO FI 03	Fixed income breakdown by market and credit quality	🔒	n/a							✓
OO SAM 01	Breakdown of externally managed investments by passive and active strategies	✓	Private							✓
OO PE 01	Breakdown of private equity investments by strategy	🔒	n/a							✓
OO PE 02	Typical level of ownership in private equity investments	🔒	n/a							✓
OO PR 01	Breakdown of property investments	🔒	n/a							✓
OO PR 02	Breakdown of property assets by management	🔒	n/a							✓
OO PR 03	Largest property types	🔒	n/a							✓
OO INF 01	Breakdown of infrastructure investments	🔒	n/a							✓
OO INF 02	Breakdown of infrastructure assets by management	🔒	n/a							✓
OO INF 03	Largest infrastructure sectors	🔒	n/a							✓
OO HF 01	Breakdown of hedge funds investments by strategies	🔒	n/a							✓
OO End	Module confirmation page	✓	-							

CCStrategy and Governance				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
SG 01	RI policy and coverage	✓	Public							✓
SG 01 CC	Climate risk	✓	Private							✓
SG 02	Publicly available RI policy or guidance documents	✓	Public						✓	
SG 03	Conflicts of interest	✓	Public							✓
SG 04	Identifying incidents occurring within portfolios	-	n/a							✓
SG 05	RI goals and objectives	✓	Public							✓
SG 06	Main goals/objectives this year	-	n/a							✓
SG 07	RI roles and responsibilities	✓	Public							✓
SG 07 CC	Climate-issues roles and responsibilities	✓	Private							✓
SG 08	RI in performance management, reward and/or personal development	✓	Private							✓
SG 09	Collaborative organisations / initiatives	✓	Public				✓	✓		
SG 09.2	Assets managed by PRI signatories	✓	Private	✓						
SG 10	Promoting RI independently	✓	Public				✓			
SG 11	Dialogue with public policy makers or standard setters	-	n/a				✓	✓	✓	
SG 12	Role of investment consultants/fiduciary managers	✓	Public				✓			
SG 13	ESG issues in strategic asset allocation	✓	Public	✓						
SG 13 CC		🔒	n/a							✓
SG 14	Long term investment risks and opportunity	✓	Private	✓						
SG 14 CC		-	n/a							✓
SG 15	Allocation of assets to environmental and social themed areas	✓	Private	✓						
SG 16	ESG issues for internally managed assets not reported in framework	🔒	n/a							✓
SG 17	ESG issues for externally managed assets not reported in framework	✓	Public							✓
SG 18	Innovative features of approach to RI	✓	Private							✓
SG 19	Communication	✓	Public		✓				✓	
SG End	Module confirmation page	✓	-							

Indirect – Manager Selection, Appointment and Monitoring				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
SAM 01	ESG incorporation strategies	✓	Public	✓						
SAM 02	Selection processes (LE and FI)	✓	Public	✓						
SAM 03	Evaluating engagement and voting practices in manager selection (listed equity/fixed income)	✓	Public		✓					
SAM 04	Appointment processes (listed equity/fixed income)	🔒	n/a	✓						
SAM 05	Monitoring processes (listed equity/fixed income)	✓	Public	✓						
SAM 06	Monitoring on active ownership (listed equity/fixed income)	✓	Public	✓						
SAM 07	Percentage of (proxy) votes	🔒	n/a		✓					
SAM 08	Percentage of externally managed assets managed by PRI signatories	✓	Private	✓						
SAM 09	Examples of ESG issues in selection, appointment and monitoring processes	✓	Public	✓					✓	
SAM End	Module confirmation page	✓	-							

Confidence building measures				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
CM1 01	Assurance, verification, or review	✓	Public							✓
CM1 02	Assurance of last year's PRI data	✓	Public							✓
CM1 03	Other confidence building measures	✓	Public							✓
CM1 04	Assurance of this year's PRI data	✓	Public							✓
CM1 05	External assurance	🔒	n/a							✓
CM1 06	Assurance or internal audit	🔒	n/a							✓
CM1 07	Internal verification	✓	Public							✓
CM1 01 End	Module confirmation page	✓	-							

University College Cork

Reported Information

Public version

Organisational Overview

PRI disclaimer

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Basic information

OO 01	Mandatory	Public	Gateway/Peering	General
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OO 01.1 Select the type that best describes your organisation or the services you provide.

- ☐ Non-corporate pension or superannuation or retirement or provident fund or plan
- ☐ Corporate pension or superannuation or retirement or provident fund or plan
- ☐ Insurance company
- ☒ Foundation
- ☐ Endowment
- ☐ Development finance institution
- ☐ Reserve - sovereign or government controlled fund
- ☐ Family office
- ☐ Other, specify

OO 02	Mandatory	Public	Peering	General
-------	-----------	--------	---------	---------

OO 02.1 Select the location of your organisation's headquarters.

Ireland

OO 02.2 Indicate the number of countries in which you have offices (including your headquarters).

- ☒ 1
- ☐ 2-5
- ☐ 6-10
- ☐ >10

OO 02.3 Indicate the approximate number of staff in your organisation in full-time equivalents (FTE).

FTE

2.900

OO 03	Mandatory	Public	Descriptive	General
-------	-----------	--------	-------------	---------

OO 03.1 Indicate whether you have subsidiaries within your organisation that are also PRI signatories in their own right.

- ☐ Yes
- ☒ No

OO 04	Mandatory	Public	Gateway/Peering	General
-------	-----------	--------	-----------------	---------

OO 04.1

Indicate the year end date for your reporting year.

31/12/2019

OO 04.2

Indicate your total AUM at the end of your reporting year.

Include the AUM of subsidiaries, but exclude advisory/execution only assets, and exclude the assets of your PRI signatory subsidiaries that you have chosen not to report on in OO 03.2

	trillions	billions	millions	thousands	hundreds
Total AUM			18	523	254
Currency	EUR				
Assets in USD			20	418	207

☐ Not applicable as we are in the fund-raising process

OO 06	Mandatory	Public	Descriptive	General
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OO 06.1	Select how you would like to disclose your asset class mix.
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☒ as percentage breakdown

	Internally managed (%)	Externally managed (%)
Listed equity	0	49.2
Fixed income	0	0
Private equity	0	4
Property	0	7
Infrastructure	0	22.4
Commodities	0	0
Hedge funds	0	9.7
Fund of hedge funds	0	0
Forestry	0	5.3
Farmland	0	0
Inclusive finance	0	0
Cash	0	2.4
Money market instruments	0	0
Other (1), specify	0	0
Other (2), specify	0	0

☐ as broad ranges

OO 06.2	Publish asset class mix as per attached image [Optional].
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OO 06.3	Indicate whether your organisation has any off-balance sheet assets [Optional].
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- ☐ Yes
☐ No

OO 06.5	Indicate whether your organisation uses fiduciary managers.
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- ☐ Yes, we use a fiduciary manager and our response to OO 5.1 is reflective of their management of our assets.
☒ No, we do not use fiduciary managers.

OO 09	Mandatory	Public	Peering	General
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OO 09.1	Indicate the breakdown of your organisation's AUM by market.
----------------	--

	Developed Markets
--	-------------------

96

	Emerging Markets
--	------------------

4

	Frontier Markets
--	------------------

0

	Other Markets
--	---------------

0

	Total 100%
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100%

Asset class implementation gateway indicators

OO 10	Mandatory	Public	Gateway	General
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OO 10.1	Select the active ownership activities your organisation implemented in the reporting year.
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	Listed equity – engagement
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- ☐ We engage with companies on ESG factors via our staff, collaborations or service providers.
☒ We require our external managers to engage with companies on ESG factors on our behalf.
☐ We do not engage directly and do not require external managers to engage with companies on ESG factors.

	Listed equity – voting
--	------------------------

- ☐ We cast our (proxy) votes directly or via dedicated voting providers
- ☒ We require our external managers to vote on our behalf.
- ☐ We do not cast our (proxy) votes directly and do not require external managers to vote on our behalf

OO 11	Mandatory	Public	Gateway	General
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OO 11.2	Select the externally managed assets classes in which you and/or your investment consultants address ESG incorporation in your external manager selection, appointment and/or monitoring processes.
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Asset class	ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes
Listed equity	<div> <div></div> <div>Listed equity - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</div> </div> <div> <input checked="" type="checkbox"/> We incorporate ESG into our external manager selection process <input checked="" type="checkbox"/> We invest only in pooled funds and external manager appointment is not applicable <input checked="" type="checkbox"/> We incorporate ESG into our external manager monitoring process <input type="checkbox"/> We do not do ESG incorporation </div>
Private equity	<div> <div></div> <div>Private equity - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</div> </div> <div> <input checked="" type="checkbox"/> We incorporate ESG into our external manager selection process <input checked="" type="checkbox"/> We invest only in pooled funds and external manager appointment is not applicable <input checked="" type="checkbox"/> We incorporate ESG into our external manager monitoring process <input type="checkbox"/> We do not do ESG incorporation </div>
Property	<div> <div></div> <div>Property - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</div> </div> <div> <input checked="" type="checkbox"/> We incorporate ESG into our external manager selection process <input checked="" type="checkbox"/> We invest only in pooled funds and external manager appointment is not applicable <input checked="" type="checkbox"/> We incorporate ESG into our external manager monitoring process <input type="checkbox"/> We do not do ESG incorporation </div>
Infrastructure	<div> <div></div> <div>Infrastructure - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</div> </div> <div> <input checked="" type="checkbox"/> We incorporate ESG into our external manager selection process <input checked="" type="checkbox"/> We invest only in pooled funds and external manager appointment is not applicable <input checked="" type="checkbox"/> We incorporate ESG into our external manager monitoring process <input type="checkbox"/> We do not do ESG incorporation </div>
Hedge funds	<div> <div></div> <div>Hedge funds - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</div> </div> <div> <input checked="" type="checkbox"/> We incorporate ESG into our external manager selection process <input checked="" type="checkbox"/> We invest only in pooled funds and external manager appointment is not applicable <input checked="" type="checkbox"/> We incorporate ESG into our external manager monitoring process <input type="checkbox"/> We do not do ESG incorporation </div>
Forestry	<div> <div></div> <div>Forestry - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</div> </div>

	<input checked="" type="checkbox"/> We incorporate ESG into our external manager selection process <input checked="" type="checkbox"/> We invest only in pooled funds and external manager appointment is not applicable <input checked="" type="checkbox"/> We incorporate ESG into our external manager monitoring process <input type="checkbox"/> We do not do ESG incorporation
Cash	<div style="background-color: #0070C0; color: white; padding: 5px;">Cash - ESG incorporation addressed in your external manager selection, appointment and/or monitoring processes</div> <input type="checkbox"/> We incorporate ESG into our external manager selection process <input type="checkbox"/> We incorporate ESG into our external manager appointment process <input type="checkbox"/> We incorporate ESG into our external manager monitoring process <input checked="" type="checkbox"/> We do not do ESG incorporation

OO 11.3b

If your organisation does not integrate ESG factors into investment decisions on your externally managed assets, explain why not.

We do integrate ESG factors into investment decisions.

OO 11.4

Provide a brief description of how your organisation includes responsible investment considerations in your investment manager selection, appointment and monitoring processes.

All investment managers are reviewed against our Sustainable and Positive Impact investment policy which sets out a set of ESG criteria and prohibited business activities. For example no investment is made in fossil fuel companies, but instead, investment in climate solutions is preferred. Perhaps more importantly is the monitoring processes. On a quarterly, managers are asked to provide a update on both financial and ESG performance of the funds either through a call or meeting. On an annual basis, the managers are asked to provide ESG metrics relevant to their investment thesis. This allows us to measure and understand the positive impact generated by the investments in the Portfolio and compare same to previous years and allow for continuous improvement.

OO 11.5

For your externally managed pooled funds, describe any mechanisms in place to set expectations as part of the appointment or commitment process.

We only suggest managers that compliant with our Ethical and Positive Impact policy.

OO 12	Mandatory	Public	Gateway	General
OO 12.1	Below are all applicable modules or sections you may report on. Those which are mandatory to report (asset classes representing 10% or more of your AUM) are already ticked and read-only. Those which are voluntary to report on can be opted into by ticking the box.			
	Core modules			
	<input checked="" type="checkbox"/> Organisational Overview <input checked="" type="checkbox"/> Strategy and Governance			
	RI implementation via external managers			

Indirect - Selection, Appointment and Monitoring of External Managers

- ☒ Listed Equities
- ☐ Private Equity
- ☐ Property
- ☒ Infrastructure

Closing module

- ☒ Closing module

University College Cork

Reported Information

Public version

Strategy and Governance

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Investment policy

SG 01

Mandatory

Public

Core Assessed

General

New selection options have been added to this indicator. Please review your prefilled responses carefully.

SG 01.1

Indicate if you have an investment policy that covers your responsible investment approach.

☒ Yes

SG 01.2

Indicate the components/types and coverage of your policy.

Select all that apply

Policy components/types	Coverage by AUM
<input checked="" type="checkbox"/> Policy setting out your overall approach <input checked="" type="checkbox"/> Formalised guidelines on environmental factors <input checked="" type="checkbox"/> Formalised guidelines on social factors <input checked="" type="checkbox"/> Formalised guidelines on corporate governance factors <input type="checkbox"/> Fiduciary (or equivalent) duties <input type="checkbox"/> Asset class-specific RI guidelines <input type="checkbox"/> Sector specific RI guidelines <input checked="" type="checkbox"/> Screening / exclusions policy <input type="checkbox"/> Engagement policy <input type="checkbox"/> (Proxy) voting policy <input type="checkbox"/> Other, specify (1) <input type="checkbox"/> Other, specify(2)	<input checked="" type="radio"/> Applicable policies cover all AUM <input type="radio"/> Applicable policies cover a majority of AUM <input type="radio"/> Applicable policies cover a minority of AUM

SG 01.3

Indicate if the investment policy covers any of the following

- ☒ Your organisation's definition of ESG and/or responsible investment and it's relation to investments
- ☐ Your investment objectives that take ESG factors/real economy influence into account
- ☐ Time horizon of your investment
- ☐ Governance structure of organisational ESG responsibilities
- ☐ ESG incorporation approaches
- ☐ Active ownership approaches
- ☐ Reporting
- ☒ Climate change
- ☒ Understanding and incorporating client / beneficiary sustainability preferences
- ☐ Other RI considerations, specify (1)
- ☐ Other RI considerations, specify (2)

SG 01.4

Describe your organisation's investment principles and overall investment strategy, interpretation of fiduciary (or equivalent) duties, and how they consider ESG factors and real economy impact.

The overall objective of the portfolio is to produce the required financial return within an acceptable level of risk. This is achieved with a diversified, multi-asset portfolio.

The investment portfolio represents part of the college's assets and as such fiduciary responsibility as we understand it does not apply.

ESG factors and real economy impact are considered as part of any investment decisions.

☐ No

SG 02**Mandatory****Public****Core Assessed****PRI 6**

New selection options have been added to this indicator. Please review your prefilled responses carefully.

SG 02.1

Indicate which of your investment policy documents (if any) are publicly available. Provide a URL and an attachment of the document.

- ☐ Policy setting out your overall approach
- ☐ Formalised guidelines on environmental factors
- ☐ Formalised guidelines on social factors
- ☐ Formalised guidelines on corporate governance factors
- ☐ Screening / exclusions policy
- ☒ We do not publicly disclose our investment policy documents

SG 02.2

Indicate if any of your investment policy components are publicly available. Provide URL and an attachment of the document.

- ☐ Your organisation's definition of ESG and/or responsible investment and it's relation to investments
- ☐ Climate change
- ☐ Understanding and incorporating client / beneficiary sustainability preferences
- ☒ We do not publicly disclose any investment policy components

SG 03	Mandatory	Public	Core Assessed	General
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SG 03.1	Indicate if your organisation has a policy on managing potential conflicts of interest in the investment process.
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- ☐ Yes
☒ No

SG 03.3	Additional information. [Optional]
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Investment changes are generally instigated by our external advisors. Decisions are made by the investment sub-committee, a sub-committee of the finance committee, in turn a sub-committee of the Governing Body of the university. Any conflicts at any stage in this process are disclosed in advance.

Objectives and strategies

SG 05	Mandatory	Public	Gateway/Core Assessed	General
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SG 05.1	Indicate if and how frequently your organisation sets and reviews objectives for its responsible investment activities.
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- ☐ Quarterly or more frequently
☐ Biannually
☒ Annually
☐ Less frequently than annually
☐ Ad-hoc basis
☐ It is not set/reviewed

Governance and human resources

SG 07	Mandatory	Public	Core Assessed	General
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SG 07.1	Indicate the internal and/or external roles used by your organisation, and indicate for each whether they have oversight and/or implementation responsibilities for responsible investment.
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	Roles
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- ☐ Board members or trustees
☒ Internal Roles (triggers other options)

Select from the below internal roles

- ☐ Chief Executive Officer (CEO), Chief Investment Officer (CIO), Chief Operating Officer (COO), Investment Committee
- ☐ Other Chief-level staff or head of department, specify
- ☐ Portfolio managers
- ☐ Investment analysts
- ☐ Dedicated responsible investment staff
- ☐ Investor relations
- ☒ Other role, specify (1)

Other description (1)

The investment sub-committee

- ☒ Oversight/accountability for responsible investment
- ☐ Implementation of responsible investment
- ☐ No oversight/accountability or implementation responsibility for responsible investment
- ☒ Other role, specify (2)

Other description (2)

Sustainability Officer

- ☐ Oversight/accountability for responsible investment
- ☐ Implementation of responsible investment
- ☒ No oversight/accountability or implementation responsibility for responsible investment
- ☒ External managers or service providers
 - ☒ Oversight/accountability for responsible investment
 - ☒ Implementation of responsible investment
 - ☐ No oversight/accountability or implementation responsibility for responsible investment

SG 07.3	Indicate the number of dedicated responsible investment staff your organisation has.
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Number

0

SG 07.4	Additional information. [Optional]
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External investment consultants provide this expertise

Promoting responsible investment				
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SG 09	Mandatory	Public	Core Assessed	PRI 4,5
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SG 09.1

Select the collaborative organisation and/or initiatives of which your organisation is a member or in which it participated during the reporting year, and the role you played.

Select all that apply

☒ Principles for Responsible Investment

- ☒ Basic
 - ☐ Moderate
 - ☐ Advanced
 - ☐ Asian Corporate Governance Association
 - ☐ Australian Council of Superannuation Investors
 - ☐ AVCA: Sustainability Committee
 - ☐ France Invest – La Commission ESG
 - ☐ BVCA – Responsible Investment Advisory Board
 - ☐ CDP Climate Change
 - ☐ CDP Forests
 - ☐ CDP Water
 - ☐ CFA Institute Centre for Financial Market Integrity
 - ☐ Climate Action 100+
 - ☐ Code for Responsible Investment in SA (CRISA)
 - ☐ Council of Institutional Investors (CII)
 - ☐ Eumedion
 - ☐ Extractive Industries Transparency Initiative (EITI)
 - ☐ ESG Research Australia
 - ☐ Invest Europe Responsible Investment Roundtable
 - ☐ Global Investors Governance Network (GIGN)
 - ☐ Global Impact Investing Network (GIIN)
 - ☐ Global Real Estate Sustainability Benchmark (GRESB)
 - ☐ Green Bond Principles
 - ☐ HKVCA: ESG Committee
 - ☐ Institutional Investors Group on Climate Change (IIGCC)
 - ☐ Interfaith Center on Corporate Responsibility (ICCR)
 - ☐ International Corporate Governance Network (ICGN)
 - ☐ Investor Group on Climate Change, Australia/New Zealand (IGCC)
 - ☐ International Integrated Reporting Council (IIRC)
 - ☐ Investor Network on Climate Risk (INCR)/CERES
 - ☐ Local Authority Pension Fund Forum
 - ☐ Principles for Financial Action in the 21st Century
 - ☐ Principles for Sustainable Insurance
 - ☐ Regional or National Social Investment Forums (e.g. UKSIF, Eurosif, ASRIA, RIAA), specify
 - ☐ Responsible Finance Principles in Inclusive Finance
 - ☐ Shareholder Association for Research and Education (Share)
 - ☐ United Nations Environmental Program Finance Initiative (UNEP FI)
 - ☐ United Nations Global Compact
 - ☒ Other collaborative organisation/initiative, specify
- UI Green Metric Steering Committee**

Your organisation's role in the initiative during the reporting year (see definitions)

- ☐ Basic
- ☐ Moderate
- ☒ Advanced

Provide a brief commentary on the level of your organisation's involvement in the initiative. [Optional]

Steering Committee member, and national coordinator for Ireland. UCC hosted the 2019 UI Green Metric Annual Workshop, attended by over 100 representatives of 30 countries and spoke about our overall sustainability strategy including our role as signatory of the PRI.

- ☒ Other collaborative organisation/initiative, specify

International Sustainable Campus Network

Your organisation's role in the initiative during the reporting year (see definitions)

- ☐ Basic
- ☒ Moderate
- ☐ Advanced

Provide a brief commentary on the level of your organisation's involvement in the initiative. [Optional]

Network member. In 2019, UCC received seed funding from the ISCN to travel to three cities across Colombia and deliver workshops on UCC's approach to sustainability. Our role as signatories of the PRI was discussed at all of these workshops.

- ☒ Other collaborative organisation/initiative, specify

Environmental Association of Universities and Colleges (EAUC)

Your organisation's role in the initiative during the reporting year (see definitions)

- ☐ Basic
- ☒ Moderate
- ☐ Advanced

Provide a brief commentary on the level of your organisation's involvement in the initiative. [Optional]

As a member, UCC engages in various online and face-to-face knowledge sharing exercises with other universities who are implementing sustainability programmes.

- ☐ Other collaborative organisation/initiative, specify

SG 10

Mandatory

Public

Core Assessed

PRI 4

SG 10.1

Indicate if your organisation promotes responsible investment, independently of collaborative initiatives.

☒ Yes

SG 10.2

Indicate the actions your organisation has taken to promote responsible investment independently of collaborative initiatives. Provide a description of your role in contributing to the objectives of the selected action and the typical frequency of your participation/contribution.

- ☐ Provided or supported education or training programmes (this includes peer to peer RI support) Your education or training may be for clients, investment managers, actuaries, broker/dealers, investment consultants, legal advisers etc.)
- ☐ Provided financial support for academic or industry research on responsible investment
- ☐ Provided input and/or collaborated with academia on RI related work
- ☐ Encouraged better transparency and disclosure of responsible investment practices across the investment industry
- ☒ Spoke publicly at events and conferences to promote responsible investment

Description

<https://www.cubsucc.com/news/fintech-solutions-for-a-sustainable-future/>
<https://www.irishexaminer.com/breakingnews/business/children-will-play-central-part-as-cork-hosts-key-event-on-sustainable-finance-961007.html>

Frequency of contribution

- ☐ Quarterly or more frequently
 - ☒ Biannually
 - ☐ Annually
 - ☐ Less frequently than annually
 - ☐ Ad hoc
 - ☐ Other
- ☒ Wrote and published in-house research papers on responsible investment

Description

UCC lecturer Dr Celine McNerney publishes extensively in this area.
https://scholar.google.com/citations?hl=en&user=5XT61NAAAAAJ&view_op=list_works&sortby=pubdate

Frequency of contribution

- ☒ Quarterly or more frequently
 - ☐ Biannually
 - ☐ Annually
 - ☐ Less frequently than annually
 - ☐ Ad hoc
 - ☐ Other
- ☒ Encouraged the adoption of the PRI

	Description
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See answers under SG09.1

	Frequency of contribution
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- ☒ Quarterly or more frequently
- ☐ Biannually
- ☐ Annually
- ☐ Less frequently than annually
- ☐ Ad hoc
- ☐ Other
- ☐ Responded to RI related consultations by non-governmental organisations (OECD, FSB etc.)
- ☐ Wrote and published articles on responsible investment in the media
- ☐ A member of PRI advisory committees/ working groups, specify
- ☐ On the Board of, or officially advising, other RI organisations (e.g. local SIFs)
- ☐ Other, specify
- ☐ No

Outsourcing to fiduciary managers and investment consultants

SG 12	Mandatory	Public	Core Assessed	PRI 4
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New selection options have been added to this indicator. Please review your prefilled responses carefully.

SG 12.1	Indicate whether your organisation uses investment consultants.
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- ☒ Yes, we use investment consultants

SG 12.2	Indicate how your organisation uses investment consultants in the selection, appointment and/or monitoring of external managers.
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- ☒ We use investment consultants in our selection and appointment of external managers

	Asset class
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- ☒ Listed Equity (LE)
- ☒ Infrastructure (INF)
- ☒ Other asset classes
- ☒ We use investment consultants in our monitoring of external managers

Asset class
<input checked="" type="checkbox"/> Listed Equity (LE)
<input checked="" type="checkbox"/> Infrastructure (INF)
<input checked="" type="checkbox"/> Other asset classes
<input type="checkbox"/> We do not use investment consultants for selection, appointment and monitoring of external managers.

SG 12.3	Indicate if your organisation considers responsible investment in the selection, appointment and/or review processes for investment consultants.
<input checked="" type="checkbox"/>	Responsible investment is considered when evaluating investment consultants' investment beliefs, strategies and policies in the selection process.
<input type="checkbox"/>	Responsible investment is considered when evaluating investment consultants' public commitment to, and promotion of, responsible investment in the selection process.
<input type="checkbox"/>	Responsible investment is considered when reviewing investment consultants' approach to investment manager ratings, research and recommendations in the monitoring process.
<input type="checkbox"/>	Consultants' responsibilities in terms of responsible investment in manager selection, appointment and monitoring processes are included in our contractual agreements with the investment consultants.
<input type="checkbox"/>	We do not consider responsible investment in the selection, appointment and/or review processes for investment consultants.

SG 12.4	Indicate whether you use investment consultants for any the following services. Describe the responsible investment components of these services.
<input type="checkbox"/>	Custodial services
<input checked="" type="checkbox"/>	Investment policy development

Describe how responsible investment is incorporated
The policy covers two mean areas of screening, one is the business activities that are prohibited from investment, such as fossil fuel activities, and the other is the ESG criteria which aims to find the worst offenders and exclude them from investment.
<input checked="" type="checkbox"/> Strategic asset allocation

Describe how responsible investment is incorporated
We adhere to the guidance of the Sustainable Development Goals (SDGs) for creating positive impact in the portfolio and selection of asset types.
<input checked="" type="checkbox"/> Investment research

Describe how responsible investment is incorporated
Investment research is undertaken by the investment consultants through a number of avenues. Firstly, research is procured from leading ESG research providers, such as MSCI ESG Research, Vigeo-Eiris, Bloomberg, the GIIN, etc. Secondly, ESG investment managers are met on a continuous basis in order to understand ESG products currently offered in the market and create a view on how these can enhance or otherwise the investment strategy. There are dedicated staff undertaking responsible investment research.

- ☐ Other, specify (1)
- ☐ Other, specify (2)
- ☐ Other, specify (3)
- ☐ None of the above
- ☐ No, we do not use investment consultants.

ESG issues in asset allocation

SG 13	Mandatory	Public	Descriptive	PRI 1
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SG 13.1

Indicate whether the organisation carries out scenario analysis and/or modelling, and if it does, provide a description of the scenario analysis (by asset class, sector, strategic asset allocation, etc.).

- ☐ Yes, in order to assess future ESG factors
- ☐ Yes, in order to assess future climate-related risks and opportunities
- ☒ No, our organisation does not currently carry out scenario analysis and/or modelling

Asset class implementation not reported in other modules

SG 17	Mandatory	Public	Descriptive	General
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SG 17.1

Describe how you address ESG issues for externally managed assets for which a specific PRI asset class module has yet to be developed or for which you are not required to report because your assets are below the minimum threshold.

Asset Class	Describe what processes are in place and the outputs or outcomes achieved
Private equity	Any prospective asset manager is screened against our Sustainable and Positive Impact policy, which lists a number of business activities that are prohibited from investment. Such is the case of companies involved in fossil fuel activities, gambling and so on. For private equity investments due diligence applies in the same manner as with all other managers. Every year after investment is made, the asset manager is required to provide metrics of environmental and social performance of the projects in which it's invested. These metrics are in turn compared to metrics suggested in similar projects by industry bodies such as the Global Impact Investing Network (GIIN). All metrics provided by the asset manager are collected, analysed and reported on. The same figures are reviewed during the next reporting period in order to enable continuous improvement.
Property	Any prospective asset manager is screened against our Sustainable and Positive Impact policy, which lists a number of business activities that are prohibited from investment. For property investments due diligence applies in the same manner as with all other managers. Depending on the nature of the property investment, the asset manager is required to provide metrics of environmental and social performance as applicable. These metrics are then reviewed and reported on and subject to our ESG continuous improvement processes.
Hedge funds - DDQ	<div>Select whether you use the PRI Hedge Fund DDQ</div> <input type="radio"/> Yes <input checked="" type="radio"/> No
Hedge funds	Any prospective asset manager is screened against our Sustainable and Positive Impact policy, which lists a number of business activities that are prohibited from investment. For hedge funds, due diligence applies in the same manner as with all other managers. Hedge funds in the portfolio only get exposure (not ownership) through the use of derivatives, as the managers don't own the underlying assets (whether it's a bond, stock or commodity), and only have exposure to the price of the asset. Therefore, other ESG procedures described for other assets do not apply for hedge funds.
Forestry	Any prospective asset manager is screened against our Sustainable and Positive Impact policy, which lists a number of business activities that are prohibited from investment. For the forestry investments, due diligence applies in the same manner as with all other managers. Every year after investment is made, the asset manager is required to provide metrics of environmental and social performance of the projects in which it's invested. These metrics are in turn compared to metrics suggested in similar projects by industry bodies such as the Global Impact Investing Network (GIIN). All metrics provided by the asset manager are collected, analysed and reported on. The same figures are reviewed during the next reporting period in order to enable continuous improvement.

Communication

SG 19	Mandatory	Public	Core Assessed	PRI 2, 6
SG 19.1	Indicate whether your organisation typically discloses asset class specific information proactively. Select the frequency of the disclosure to clients/beneficiaries and the public, and provide a URL to the public information.			

Selection, Appointment and Monitoring

Do you disclose?

- ☒ We do not disclose to either clients/beneficiaries or the public.
- ☐ We disclose to clients/beneficiaries only.
- ☐ We disclose to the public

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Indirect – Manager Selection, Appointment and Monitoring

PRI disclaimer

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Listed Equity and Fixed Income Strategies

SAM 01	Mandatory	Public	Gateway	PRI 1
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SAM 01.1 Indicate which of the following ESG incorporation strategies you require your external manager(s) to implement on your behalf for all your listed equity and/or fixed income assets:

Active investment strategies

Active investment strategies	Listed Equity					
Screening	<input checked="" type="checkbox"/>					
Thematic	<input type="checkbox"/>					
Integration	<input type="checkbox"/>					
None of the above	<input type="checkbox"/>					

Selection

SAM 02	Mandatory	Public	Core Assessed	PRI 1
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SAM 02.1 Indicate what RI-related information your organisation typically covers in the majority of selection documentation for your external managers

	LE							Infrastructure
Your organisation's investment strategy and how ESG objectives relate to it	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
ESG incorporation requirements	<input type="checkbox"/>							<input type="checkbox"/>
ESG reporting requirements	<input type="checkbox"/>							<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>							<input type="checkbox"/>
No RI information covered in the selection documentation	<input type="checkbox"/>							<input type="checkbox"/>

SAM 02.2 Explain how your organisation evaluates the investment manager's ability to align between your investment strategy and their investment approach

Strategy

	LE								Infrastructure
Assess the time horizon of the investment manager's offering vs. your/beneficiaries' requirements	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Assess the quality of investment policy and its reference to ESG	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Assess the investment approach and how ESG objectives are implemented in the investment process	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Review the manager's firm-level vs. product-level approach to RI	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Assess the ESG definitions to be used	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>								<input type="checkbox"/>
None of the above	<input type="checkbox"/>								<input type="checkbox"/>

ESG people/oversight

	LE								Infrastructure
Assess ESG expertise of investment teams	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Review the oversight and responsibilities of ESG implementation	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Review how is ESG implementation enforced /ensured	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Review the manager's RI-promotion efforts and engagement with the industry	<input checked="" type="checkbox"/>								<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>								<input type="checkbox"/>
None of the above	<input type="checkbox"/>								<input type="checkbox"/>

Process/portfolio construction/investment valuation

	LE							Infrastructure
Review the process for ensuring the quality of the ESG data used	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Review and agree the use of ESG data in the investment decision making process	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Review and agree the impact of ESG analysis on investment decisions	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Review and agree ESG objectives (e.g. risk reduction, return seeking, real-world impact)	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Review and agree manager's ESG risk framework	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Review and agree ESG risk limits at the portfolio level (portfolio construction) and other ESG objectives	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Review how ESG materiality is evaluated by the manager	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Review process for defining and communicating on ESG incidents	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Review and agree ESG reporting frequency and detail	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Other, specify	<input type="checkbox"/>							<input type="checkbox"/>
None of the above	<input type="checkbox"/>							<input type="checkbox"/>

SAM 02.3

Indicate the selection process and its ESG/RI components

- ☒ Review ESG/RI responses to RfP, RfI, DDQ etc.
- ☐ Review responses to PRI's Limited Partners' Responsible Investment Due Diligence Questionnaire (LP DDQ)
- ☒ Review publicly available information on ESG/RI
- ☐ Review assurance process on ESG/RI data and processes
- ☐ Review PRI Transparency Reports
- ☐ Request and discuss PRI Assessment Reports
- ☒ Meetings with the potential shortlisted managers covering ESG/RI themes
- ☒ Site visits to potential managers offices
- ☐ Other, specify

SAM 02.4

When selecting external managers does your organisation set any of the following:

	LE							Infrastructure
ESG performance development targets	<input type="checkbox"/>							<input type="checkbox"/>
ESG score	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
ESG weight	<input type="checkbox"/>							<input type="checkbox"/>
Real world economy targets	<input type="checkbox"/>							<input type="checkbox"/>
Other RI considerations	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
None of the above	<input type="checkbox"/>							<input type="checkbox"/>

You selected an 'Other' option in table SAM 02.4 above, please specify

Alignment with the SDGs.

SAM 03	Mandatory	Public	Additional Assessed	PRI 2
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SAM 03.1

Indicate how your organisation typically evaluates the manager's active ownership practices in the majority of the manager selection process.

Engagement

	LE				
Review the manager's engagement policy	<input checked="" type="checkbox"/>				
Review the manager's engagement process (with examples and outcomes)	<input checked="" type="checkbox"/>				
Ensure whether engagement outcomes feed back into the investment decision-making process	<input checked="" type="checkbox"/>				
Other engagement issues in your selection process specify	<input type="checkbox"/>				

(Proxy) voting

	LE
Review the manager's voting policy	<input type="checkbox"/>
Review the manager's ability to align voting activities with clients' specific voting policies	<input type="checkbox"/>
Review the manager's process for informing clients about voting decisions	<input type="checkbox"/>
Ensure whether voting outcomes feed back into the investment decision-making process	<input checked="" type="checkbox"/>
Review the number of votes cast as a percentage of ballots/AGMs or holdings and available rationale	<input type="checkbox"/>
Other active ownership voting issues in your selection process; specify	<input type="checkbox"/>

SAM 03.2

Describe how you assess if the manager's engagement approach is effective.

- ☒ Impact on investment decisions
- ☐ Financial impact on target company or asset class
- ☐ Impact on ESG profile of company or the portfolio
- ☒ Evidence of changes in corporate practices(i.e. ESG policies and implementation activities)
- ☐ Other, specify
- ☐ None of the above

SAM 03.3

Describe how you assess if the manager's voting approach is effective/appropriate

- ☒ Impact on investment decisions
- ☐ Impact on ESG profile of company or the portfolio
- ☒ Evidence of changes in corporate practices(i.e. ESG policies and implementation activities)
- ☐ Other, specify
- ☐ None of the above

Monitoring

SAM 05

Mandatory

Public

Core Assessed

PRI 1

SAM 05.1

When monitoring managers, indicate which of the following types of responsible investment information your organisation typically reviews and evaluates

	LE							Infrastructure
ESG objectives linked to investment strategy (with examples)	<input type="checkbox"/>							<input type="checkbox"/>
Evidence on how the ESG incorporation strategy(ies) affected the investment decisions and financial / ESG performance of the portfolio/fund	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Compliance with investment restrictions and any controversial investment decisions	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
ESG portfolio characteristics	<input type="checkbox"/>							<input type="checkbox"/>
How ESG materiality has been evaluated by the manager in the monitored period	<input type="checkbox"/>							<input type="checkbox"/>
Information on any ESG incidents	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Metrics on the real economy influence of the investments	<input type="checkbox"/>							<input checked="" type="checkbox"/>
PRI Transparency Reports	<input type="checkbox"/>							<input type="checkbox"/>
PRI Assessment Reports	<input type="checkbox"/>							<input type="checkbox"/>
RI-promotion and engagement with the industry to enhance RI implementation	<input type="checkbox"/>							<input type="checkbox"/>
Changes to the oversight and responsibilities of ESG implementation	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
Other general RI considerations in investment management agreements; specify	<input type="checkbox"/>							<input type="checkbox"/>
None of the above	<input type="checkbox"/>							<input type="checkbox"/>

SAM 05.2

When monitoring external managers, does your organisation set any of the following to measure compliance/progress

	LE							Infrastructure
ESG score	<input checked="" type="checkbox"/>							<input checked="" type="checkbox"/>
ESG weight	<input type="checkbox"/>							<input type="checkbox"/>
ESG performance minimum threshold	<input type="checkbox"/>							<input type="checkbox"/>
Real world economy targets	<input type="checkbox"/>							<input type="checkbox"/>
Other RI considerations	<input type="checkbox"/>							<input type="checkbox"/>
None of the above	<input type="checkbox"/>							<input type="checkbox"/>

SAM 06	Mandatory	Public	Additional Assessed	PRI 1
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SAM 06.1	When monitoring managers, indicate which of the following active ownership information your organisation typically reviews and evaluates from the investment manager in meetings/calls
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	Engagement
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	LE				
Report on engagements undertaken (summary with metrics, themes, issues, sectors or similar)	<input type="checkbox"/>				
Report on engagement ESG impacts (outcomes, progress made against objectives and examples)	<input type="checkbox"/>				
Information on any escalation strategy taken after initial unsuccessful dialogue	<input type="checkbox"/>				
Alignment with any eventual engagement programme done internally	<input type="checkbox"/>				
Information on the engagement activities' impact on investment decisions	<input checked="" type="checkbox"/>				
Other RI considerations relating to engagement in investment management agreements; specify	<input checked="" type="checkbox"/>				
None of the above	<input type="checkbox"/>				

If you select any 'Other' option(s), specify

The managers have been given the flexibility to engage with companies with small holdings of fossil fuels, which are thus excluded from investment, but represent a good investment case. The rationale behind is to encourage these companies to exit such holdings. If a company plans to divest, it will need to fulfil a set of criteria aimed at ensuring that the company follows through its commitment. For example, it should provide a credible plan with targets in the short to medium term for divestment.

(Proxy) voting

	LE
Report on voting undertaken (with outcomes and examples)	<input type="checkbox"/>
Report on voting decisions taken	<input checked="" type="checkbox"/>
Adherence with the agreed upon voting policy	<input type="checkbox"/>
Other RI considerations relating to (proxy) voting in investment management agreements; specify	<input type="checkbox"/>
None of the above	<input type="checkbox"/>

Outputs and outcomes

SAM 09	Mandatory	Public	Additional Assessed	PRI 1,6
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SAM 09.1

Provide examples of how ESG issues have been addressed in the manager selection, appointment and/or monitoring process for your organisation during the reporting year.

☒ Add Example 1

Topic or issue	Measuring of positive impact
Conducted by	<input type="checkbox"/> Internal staff <input checked="" type="checkbox"/> Investment consultants
Asset class	<input type="checkbox"/> All asset classes <input type="checkbox"/> Listed Equity <input type="checkbox"/> Infrastructure
Scope and process	<p>A key element of our investment strategy is the measuring of positive impact at the overall portfolio level. To this end, we engage with the managers of the private equity element of the portfolio to provide a review of ESG materiality in the fund and what metrics select to measure progress in these areas. It is always pleasing to see that, despite the fund being purely environmental, a number of strong social metrics, which are so important when investing in Emerging Markets, take central stage in the annual review of the managers.</p>
Outcomes	<p>We used some of the metrics provided by the manager across our impact measuring exercise for the year and asked other managers to provide similar metrics where appropriate. This allowed us to extend the good practice from one manager onto the others.</p>

- ☐ Add Example 2
- ☐ Add Example 3
- ☐ Add Example 4
- ☐ Add Example 5
- ☐ Add Example 6
- ☐ Add Example 7
- ☐ We are not able to provide examples

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Confidence building measures

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Confidence building measures

CM1 01	Mandatory	Public	Additional Assessed	General
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CM1 01.1 Indicate whether the reported information you have provided for your PRI Transparency Report this year has undergone:

- ☐ Third party assurance over selected responses from this year's PRI Transparency Report
- ☐ Third party assurance over data points from other sources that have subsequently been used in your PRI responses this year
- ☐ Third party assurance or audit of the correct implementation of RI processes (that have been reported to the PRI this year)
- ☐ Internal audit of the correct implementation of RI processes and/or accuracy of RI data (that have been reported to the PRI this year)
- ☒ Internal verification of responses before submission to the PRI (e.g. by the CEO or the board)
 - ☐ Whole PRI Transparency Report has been internally verified
 - ☒ Selected data has been internally verified
- ☒ Other, specify
All information provided by the investment consultants have been internally verified by the investment consultants.
- ☐ None of the above

CM1 02	Mandatory	Public	Descriptive	General
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CM1 02.1 We undertook third party assurance on last year's PRI Transparency Report

- ☐ Whole PRI Transparency Report was assured last year
- ☐ Selected data was assured in last year's PRI Transparency Report
- ☐ We did not assure last year's PRI Transparency report
- ☒ None of the above, we were in our preparation year and did not report last year.

CM1 03	Mandatory	Public	Descriptive	General
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CM1 03.1 We undertake confidence building measures that are unspecific to the data contained in our PRI Transparency Report:

- ☐ We adhere to an RI certification or labelling scheme
- ☐ We carry out independent/third party assurance over a whole public report (such as a sustainability report) extracts of which are included in this year's PRI Transparency Report
- ☒ ESG audit of holdings

CM1 03.4

Describe the process of external/third party ESG audit of holdings, including which data has been assured.

MSCI ESG Research has been contracted to provide an annual review of all of the ESG characteristics of the equity holdings including their contributions to the achievement of the SDGs.

- ☐ Other, specify
- ☐ None of the above

CM1 04**Mandatory****Public****Descriptive****General****CM1 04.1**

Do you plan to conduct third party assurance of this year's PRI Transparency report?

- ☐ Whole PRI Transparency Report will be assured
- ☐ Selected data will be assured
- ☒ We do not plan to assure this year's PRI Transparency report

CM1 07**Mandatory****Public****Descriptive****General****CM1 07.1**

Indicate who has reviewed/verified internally the whole - or selected data of the - PRI Transparency Report . and if this applies to selected data please specify what data was reviewed

Who has conducted the verification

- ☐ CEO or other Chief-Level staff
- ☐ The Board
- ☐ Investment Committee
- ☐ Compliance Function
- ☐ RI/ESG Team
- ☒ Investment Teams

What data has been verified

- ☒ Policies
- ☒ Overarching strategy and processes
- ☒ Asset class specific processes
- ☒ Quantitative data related to RI processes
- ☐ Other

Relevant modules

- ☒ Organisational Overview
- ☒ Strategy and Governance
- ☒ Indirect – Manager Selection, Appointment and Monitoring
- ☐ Legal Department
- ☒ Other (specify)

specify

Please enter your role here Maria

What data has been verified

- ☐ Policies
- ☒ Overarching strategy and processes
- ☐ Asset class specific processes
- ☐ Quantitative data related to RI processes
- ☐ Other

Relevant modules

- ☒ Organisational Overview
- ☒ Strategy and Governance
- ☐ Indirect – Manager Selection, Appointment and Monitoring